Please type a plus sign (+) inside this box THADEWARK THADEWARK

Information Disclosure Statement

Alexandria, VA 22313-1450 on this date:

Type or printed name

Signature

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Copy of Filing Receipt w/Error Marked

Copy of Declaration/Power of Attorney

October 27, 2003

October 27, 2003

Request for Corrected Filing Receipt

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL **Application Number** 10/627,466 **FORM Filing Date** July 25, 2003 (to be used for all correspondence after initial filing) **First Named Inventor** Kawai, et al. Group Art Unit Unassigned **Examiner Name** Unassigned Total Number of Pages in This Submission Attorney Docket Number 013.0302.US.CIP ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication Fee Transmittal Form (for an Application) to Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request identify below): Address Express Abandonment Request

Certified C Document	copy of Priority (s)	CD, Number of CD(s)	Postcard
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Remarks	
	SIGNAT	URE OF APPLICANT, ATTORNEY, C	R AGENT
Firm <i>or</i> Individual name	Law Offices of Patri	ck J.S. Inouye	
Signature	Jan 19	Ty.	
Date	October 27, 2003	V	
		CERTIFICATE OF MAILING	
I hereby certify that	this correspondence is bei	ng deposited with the United States Postal	Service with sufficient postage as first class

Terminal Disclaimer

Request for Refund

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Mail Stop Missing Parts, PO Box 1450, Alexandria, VA 22313-1450.

mail in an envelope addressed to: Commissioner for Patents, Mail Stop Missing Parts, P.O. Box 1450,

Larissa V. Pigott Larissa

REC'D OCT 27 2003



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
P.O. BOX 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPL NO.

FILING OR 371 (c) DATE

ART UNIT

T FIL FEE REC'D

ATTY.DOCKET NO

DRAWINGS

TOT CLMS IND CLMS

6 L

``10/627,466 ^v 07/25/2003_°

2171

1326

013.0302.US.CIP ✓

22 v

38 ~

CONFIRMATION NO. 3236

Law Offices of Patrick J.S. Inouye Suite 258 810 Third Avenue Seattle, WA 98104

FILING RECEIPT

OC000000011091963

Date Mailed: 10/23/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kawai

Kenji Kawal, Seattle, WA; David T. McDonald, Seattle, WA;

Domestic Priority data as claimed by applicant

This application is a CIP of 09/812,749 03/19/2001 \checkmark

Foreign Applications

If Required, Foreign Filing License Granted: 10/23/2003

Projected Publication Date: Request for Non-Publication Acknowledged V

Non-Publication Request: Yes

Early Publication Request: No

Title

System and method for evaluating a structured message store for message redundancy V

Preliminary Class

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

OTP L CTOS IN THE UNITED STATES PATENT AND TRADI	EΝ	IARK OFFICE
The Application of)	
Kawai et al.)	Group Art Unit: 2171
Serial No. 10/627,466)	Examiner: Unassigned
Filed: July 25, 2003))	
For: System And Method For Evaluating A Structured Message Store For Message Redundancy)	

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Mail Stop Missing Parts Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of the official Filing Receipt for the above-identified patent application, which has been marked in red ink to identify the correction needed. The correction is as follows:

Under Applicant(s), replace "Kenji Kawal" with -Kenji Kawai--.

In support of this Request, the following documents are provided as attachments:

- 1. Copy of Declaration/Power of Attorney (signed).
- No fee is due with this communication. The requested corrections are due to the UPSTO error. <u>X_</u>

Issuance of a corrected Filing Receipt is respectfully requested.

PATENT TRADEMARK OFFICE

Dated: October 27, 2003

Respectfully submitted,

Law Offices of Patrick J.S. Inouye 810 3rd Ave., Ste 258 Seattle, WA 98104

Telephone: (206)381-3900 Facsimile: (206) 381-3999

OT 29 MG SUBSU

PATENT APPLICATION



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

ATTORNEY DOCKET NO. 013.0302.US.CIF

.

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

System And Method For Evaluating A Structured Message Store For Message Redundancy

the specification of which is attached hereto unless the following box is checked:

c specifica	tion of winen	is attached nervice attacks the remaining seat to entertion.		
()	was filed on	as US Application Serial No. or PCT	International A	pplication
	Number	and was amended on	(if applicab	le).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

Foreign Application(s) and/or Claim of Foreign Priority

I hereby claim foreign priority benefits under Title 35, United States Code Section 119 of any foreign application(s) for patent or inventor(s) certificate listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES: NO:
			YES: NO:

Provisional Application

I hereby claim the benefit under Title 35, United States Code Section 119(e) of any United States provisional application(s) listed below:

APPLICATION SERIAL NUMBER	FILING DATE

U.S. Priority Claim

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

FILING DATE	STATUS(patented/pending/abandoned)
3/19/2001	Pending
	3/19/2001

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) listed below to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Patrick J.S. Inouye, Esq., Reg. No. 40297

Send Correspondence to:	Direct Telephone Calls To:
Patrick J.S. Inouye, Esq.	Patrick J.S. Inouye, Esq.
Law Offices of Patrick J.S. Inouye	(206) 381-3900
810 Third Avenue	
Suite 258 Seattle, WA 98104	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

DECLARATION AND POWER OF ATTORNEY	ATTORNEY DOCKET NO. <u>013.0302.US.CIP</u>
I'OR PATENT APPLICATION (continued)	
Full Name of Inventor: Kenji Kawai	Citizenship: <u>USA</u>
Residence: 7309 21st Avenue NE, Seattle, WA 98115	
Post Office Address: Same Nig. Common Inventor's Signature	7/22/03 Date
Full Name of Inventor: David T. McDonald	Citizenship: USA
Residence: 6056 44th Ave NE, Seattle, WA 98115	
Post Office Address: Same Inventor's Signature	7/22/53 Date